

*Exploring Frame Structures with Negative Poisson's Ratio  
via Mixed Integer Programming*

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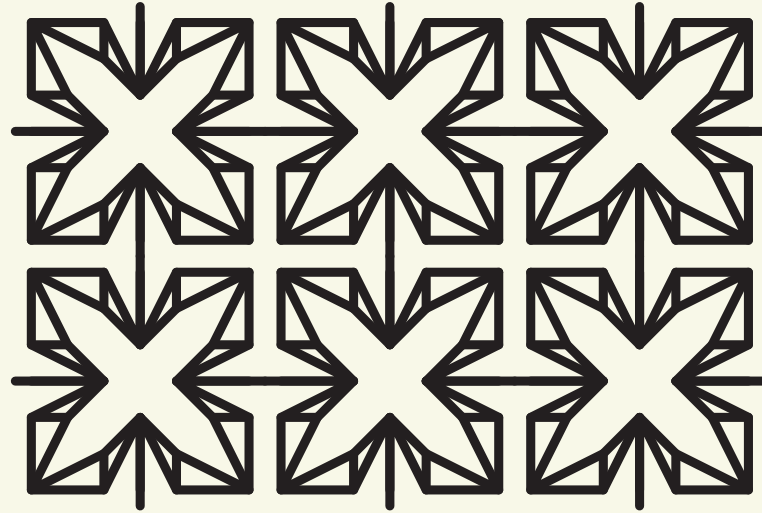
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# structures with negative Poisson's ratio

- ...expand transversely when stretched longitudinally.



# mixed-integer programming

- m-i linear prog.:

$$\begin{aligned} \min \quad & \mathbf{c}^T \mathbf{x} + \mathbf{r}^T \mathbf{y} \\ \text{s. t.} \quad & \mathbf{a}_i^T \mathbf{x} + \mathbf{g}_i^T \mathbf{y} \geq b_i \quad (i = 1, \dots, m), \\ & \mathbf{x} \in \{0, 1\}^n, \quad \mathbf{y} \in \mathbb{R}^l \end{aligned}$$

- “mixed”:
  - $x_j$  : integer (discrete) variable
  - $y_l$  : real (continuous) variable
- replace  $\mathbf{x} \in \{0, 1\}^n$  with  $\mathbf{0} \leq \mathbf{x} \leq \mathbf{1}$   
→ linear prog. relaxation
- can be solved with, e.g., a branch-and-bound method

# materials with NPR (= auxetic materials)

- naturally occurred materials

- cadmium [Li '76]

- single crystal of arsenic [Gunton & Saunders '72]

- layered ceramics [Song, Zhou, Xu, Xu, & Bai '08]

- artificial materials

- polymer foam [Lakes '87]

- re-entrant structure

[Friis, Lakes, & Park '88] [Evans, Alderson, & Christian '95]

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- artificial materials
  - polymer foam [Lakes '87]
  - re-entrant structure [Friis, Lakes, & Park '88] [Evans, Alderson, & Christian '95]
- (possible) applications
  - tunable filters [Alderson *et al.* '00]
  - fasteners [Choi & Lakes '91]
  - artificial intervertebra discs [Martz, Lakes, Goel, & Park '05]

# optimization to achieve NPR

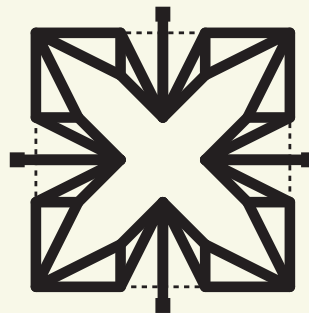
- existing methods:
  - truss model [Sigmund '94]
  - continuum & homogenization method  
[Larsen, Sigmund, & Bouwstra '97] [Schwerdtfeger *et al.* '11]
  - continuum & genetic alg. [Matsuoka, Yamamoto, & Takahara '01]

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- Local stress constraints were not considered.
- Post-processing before manufacturing: gray areas & hinges.

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- **our method:**
  - periodic frame structure
  - stress constraints & pre-determined beam sections
    - no hinges, no thin members



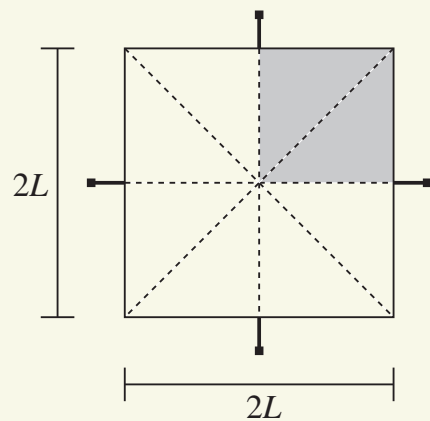


# optimization to achieve NPR

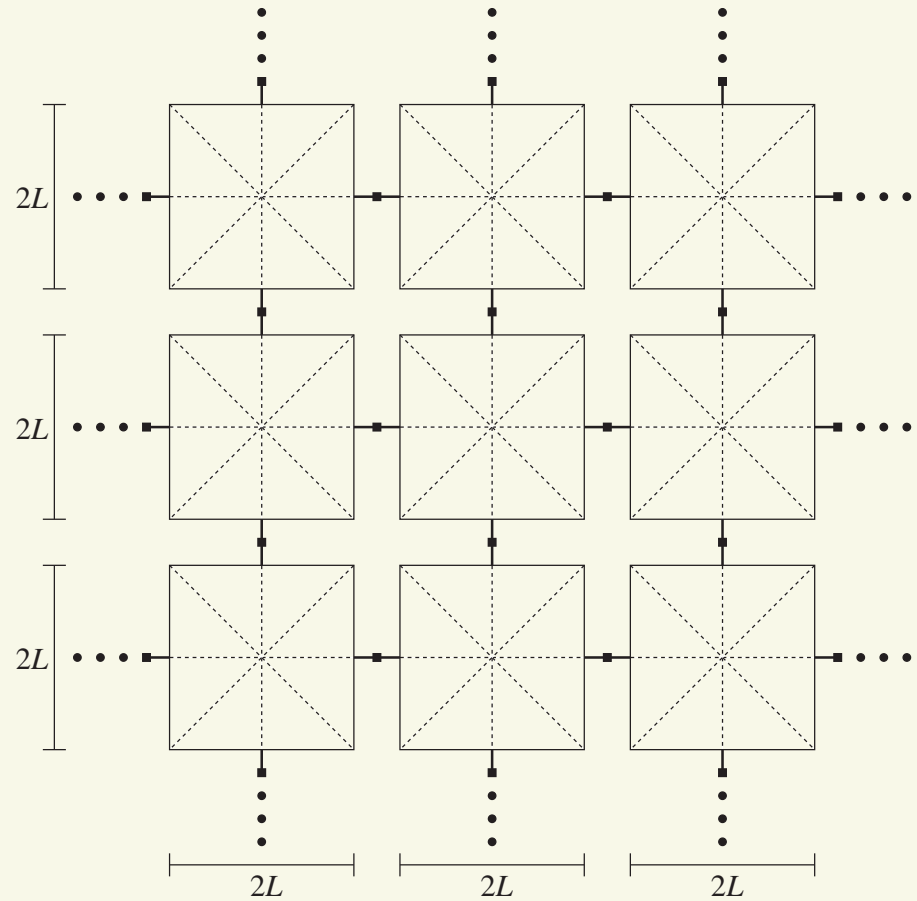
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- **our method:**
  - periodic frame structure
  - stress constraints & pre-determined beam sections  
→ no hinges, no thin members
  - → manufacturability (no post-processing)
  - → global optim.
    - an idea — MILP for truss [Rasmussen & Stolpe '08] [K. & Guo '10]

# problem setting

- assume periodicity & symmetry
- unit cell: planar frame structure
- design variables: sections of members



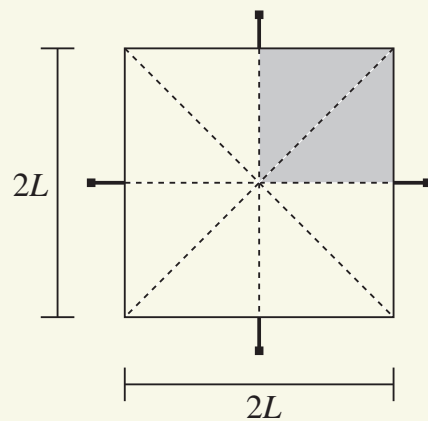
unit cell



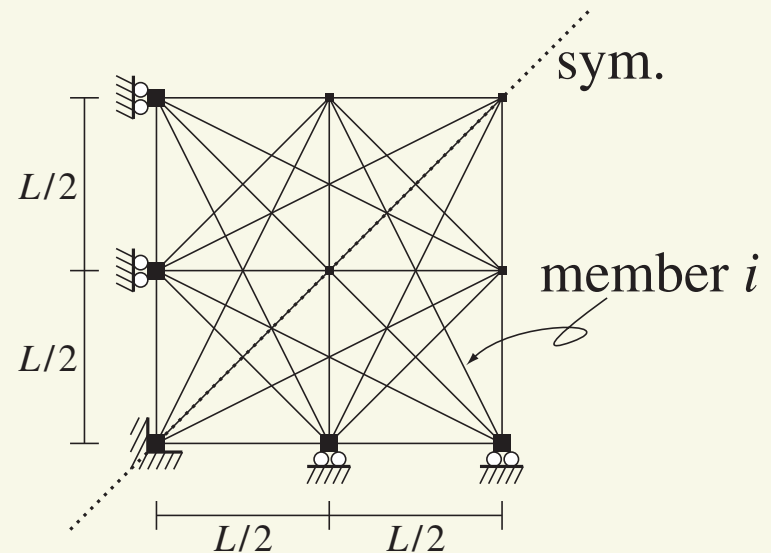
periodicity

# problem setting

- assume periodicity & symmetry
- unit cell: planar frame structure
- design variables: sections of members
  - $x_i$  : integer variable
    - $x_i = 1 \Rightarrow$  Member  $i$  has pre-determined section.
    - $x_i = 0 \Rightarrow$  Member  $i$  is removed.



unit cell



design domain

# problem setting

- assume periodicity & symmetry
- unit cell: planar frame structure
- design variables: sections of members

- more general setting:

$$\text{catalog} = \{\bar{a}_1, \bar{a}_2, \dots, \bar{a}_P\}$$

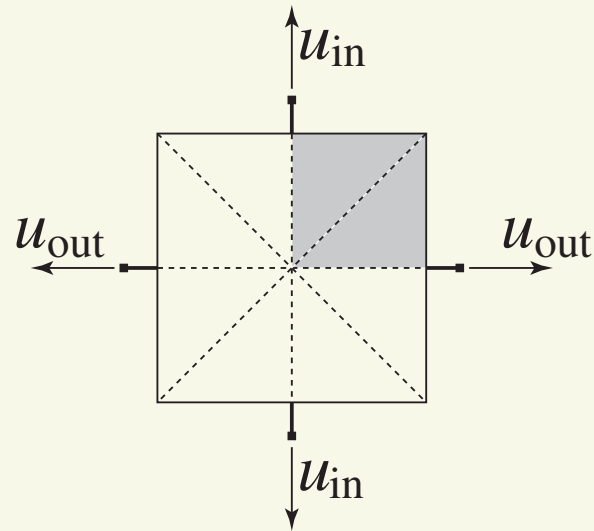
- $x_{ip} = 1 \Rightarrow$  Member  $i$  has pre-determined section  $\bar{a}_p$ .
- $x_{i1} = \dots = x_{iP} = 0 \Rightarrow$  Member  $i$  is removed.

$$\sum_p x_{ip} \leq 1$$

- (section) =  $\sum_p x_{ip} \bar{a}_p$

# optimization problem

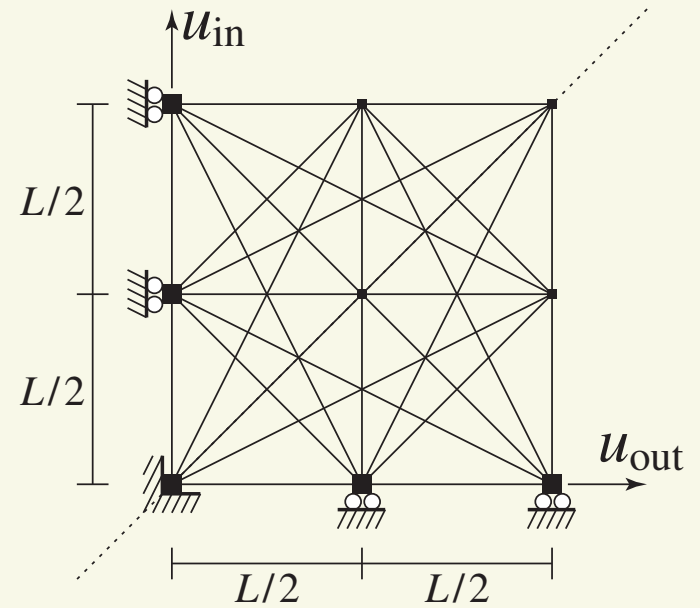
max  $u_{out}$   
s. t. equilibrium eq.  
specifying  $u_{in}$   
stress constraints  
avoiding member intersection



- → can be reduced to m-i linear prog.

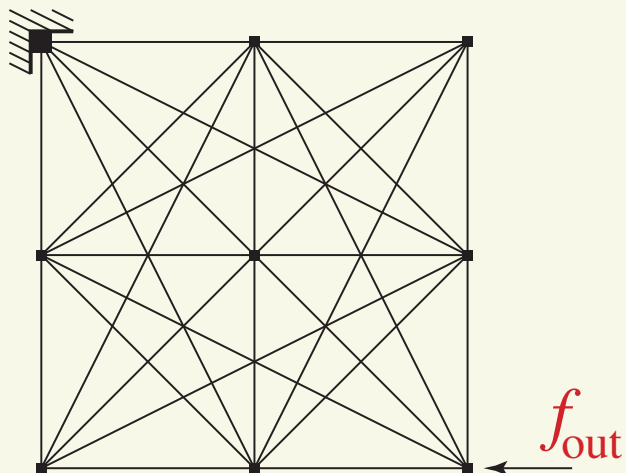
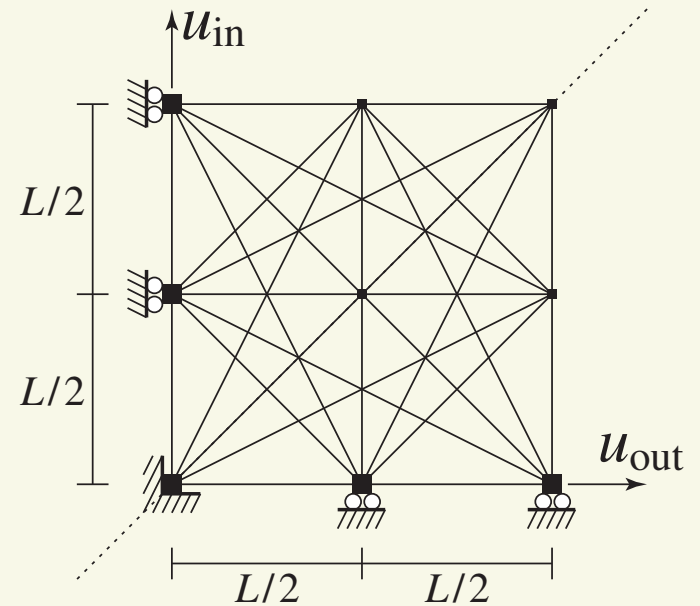
# fictitious boundary cond.

- max.  $u_{out}$ 
  - s. t.  $u_{in}$  is specified.
- “null structure” is optimal  
→  $u_{out} = +\infty$



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- max.  $u_{out}$ 
  - s. t.  $u_{in}$  is specified.
- “null structure” is optimal
  - $u_{out} = +\infty$



- fictitious cond.:
  - fix node “in”
  - apply  $f_{out}$  at node “out”
  - require “ $\exists$  internal forces satisfying force-balance eq.”

# reduction to MIP (1)

- equilibrium eq.:

$$K\mathbf{u} = \mathbf{f}$$

- stiffness matrix:

$$K = \sum_{i=1}^m \sum_{j=1}^3 k_{ij} \mathbf{b}_{ij} \mathbf{b}_{ij}^T \quad (\mathbf{b}_{ij} : \text{const. vec.})$$

- member stiffnesses:

$$k_{ij} = \bar{k}_{ij} x_i \quad (\bar{k}_{ij} : \text{const.})$$

- integer variable:

$$x_i = \begin{cases} 1 & \text{if member } i \text{ exists} \\ 0 & \text{if member } i \text{ is removed} \end{cases}$$



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- → Reformulate all constraints as linear constraints.

## reduction to MIP (2)

- equil. eq.  $K\mathbf{u} = \mathbf{f} \Leftrightarrow$

$$\sum_{i=1}^m \sum_{j=1}^3 \bar{k}_{ij} v_{ij} \mathbf{b}_{ij} = \mathbf{f} \quad \text{(force-balance)}$$

$$v_{ij} = \begin{cases} \mathbf{b}_{ij}^T \mathbf{u} & \text{if } x_i = 1 & (\diamond) \\ 0 & \text{if } x_i = 0 & (\clubsuit) \end{cases} \quad \text{(compatibility)}$$

- stress constraints:

$$\frac{|q_i(\mathbf{u})|}{q_i^y} + \frac{|m_i^{(e)}(\mathbf{u})|}{m_i^y} \leq 1 \quad (\spadesuit)$$

## reduction to MIP (2)

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$$\frac{|q_i(\mathbf{u})|}{q_i^y} + \frac{|m_i^{(e)}(\mathbf{u})|}{m_i^y} \leq 1 \quad (\spadesuit)$$

- $(\clubsuit) \ \& \ (\spadesuit) \Leftrightarrow \frac{\bar{k}_{i1}}{q_i^y} |v_{i1}| + \frac{l_i \bar{k}_{i2}}{2 m_i^y} |v_{i2}| + \frac{\bar{k}_{i3}}{m_i^y} |v_{i3}| \leq x_i$

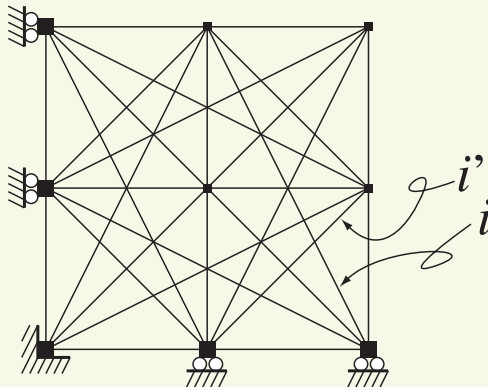
- $(\diamond) \Leftrightarrow |v_{ij} - \mathbf{b}_{ij}^T \mathbf{u}| \leq M(1 - x_i) \quad (M \gg 0 : \text{const.})$

# goal: MIP formulation

$$\begin{aligned} \max \quad & u_{\text{out}} \\ \text{s. t.} \quad & \sum_{i \in E} \sum_{j=1}^3 \bar{k}_{ij} v_{ij} \mathbf{b}_{ij} = \mathbf{f}, \\ & |v_{ij} - \mathbf{b}_{ij}^T \mathbf{u}| \leq M(1 - x_i), \quad \forall j, \forall i, \\ & \frac{\bar{k}_{i1}}{q_i^y} |v_{i1}| + \frac{l_i \bar{k}_{i2}}{2 m_i^y} |v_{i2}| + \frac{\bar{k}_{i3}}{m_i^y} |v_{i3}| \leq x_{ip}, \quad \forall i, \\ & x_{ip} \in \{0, 1\}, \quad \forall i. \end{aligned}$$

- avoiding member intersection:

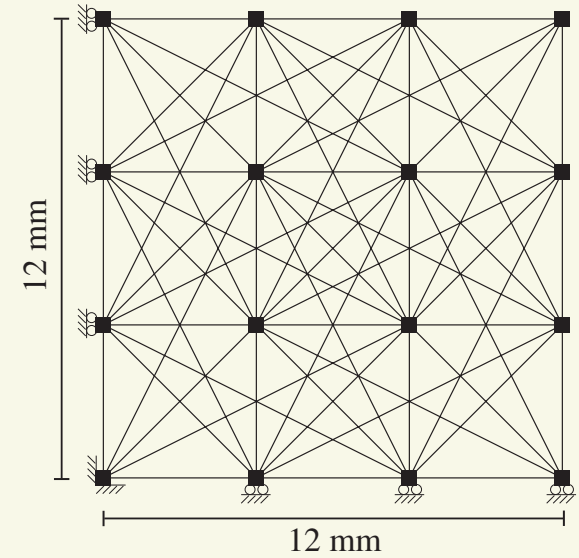
$$x_i + x_{i'} \leq 1$$



- only linear constraints (and integer constraints).

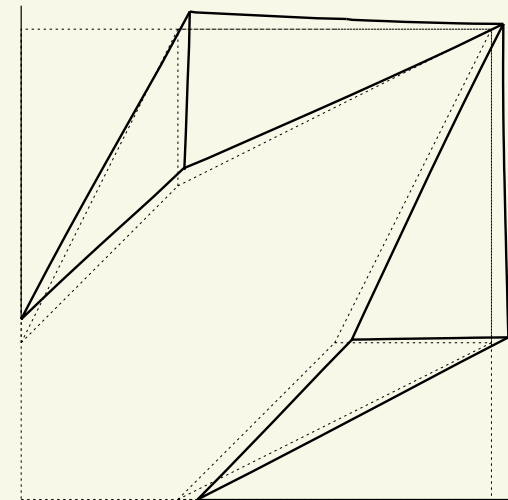
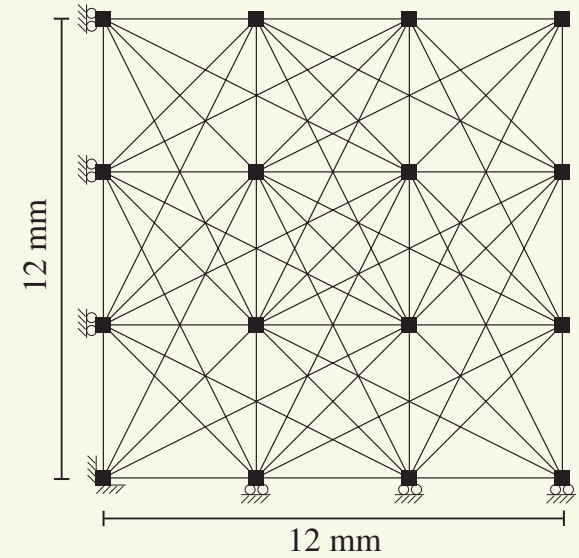
## ex.) global optimization

- 66 candidate members
- Timoshenko beam element
- solver: CPLEX ver. 12.2
  
- beam cross-section
  - (width)  $\times$  (thickness) =  $0.5 \times 0.5$  mm
  - (width)  $\times$  (thickness) =  $1 \times 0.25$  mm

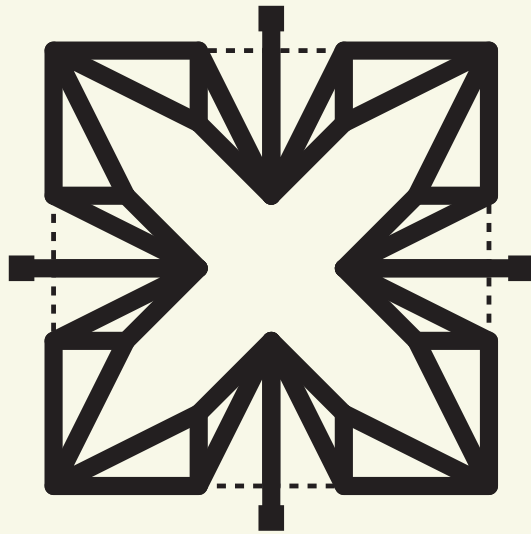


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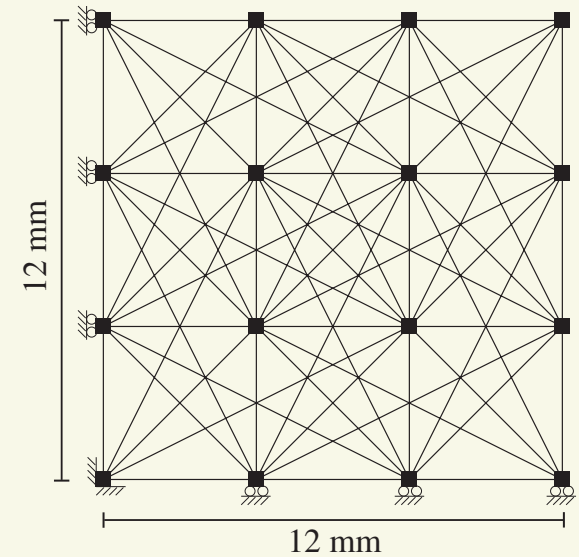
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 $\rightarrow \nu = -0.832887$
  - (width)  $\times$  (thickness) =  $1 \times 0.25$  mm  
 $\rightarrow \nu = -0.752017$



## ex.) global optimization



optimal base cell



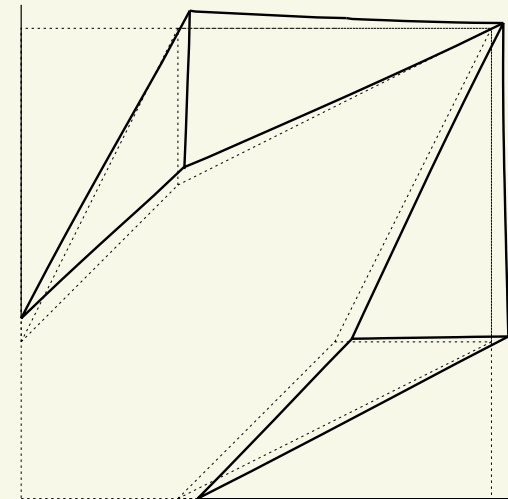
- beam cross-section

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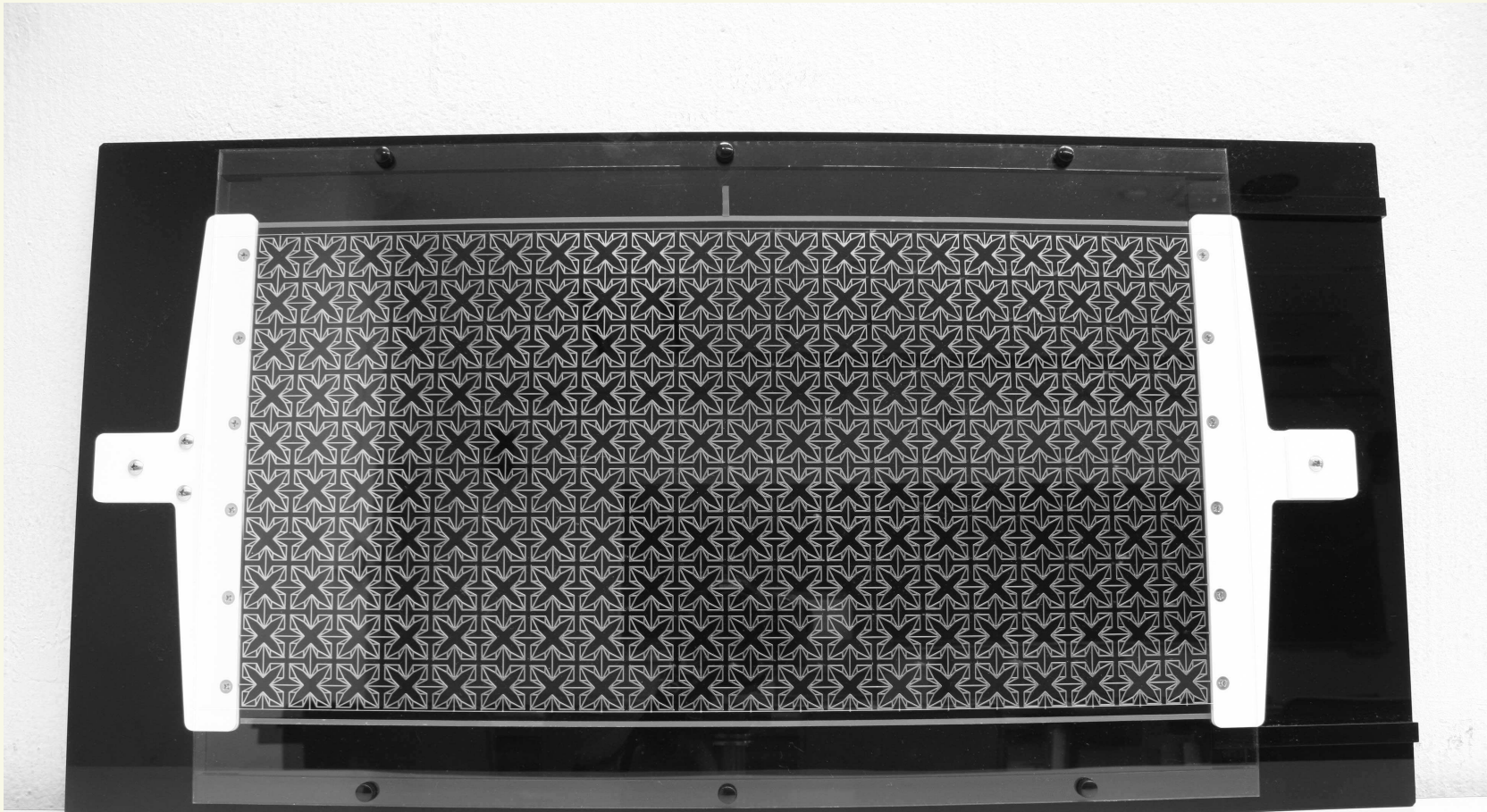
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## fabricated optimal structure

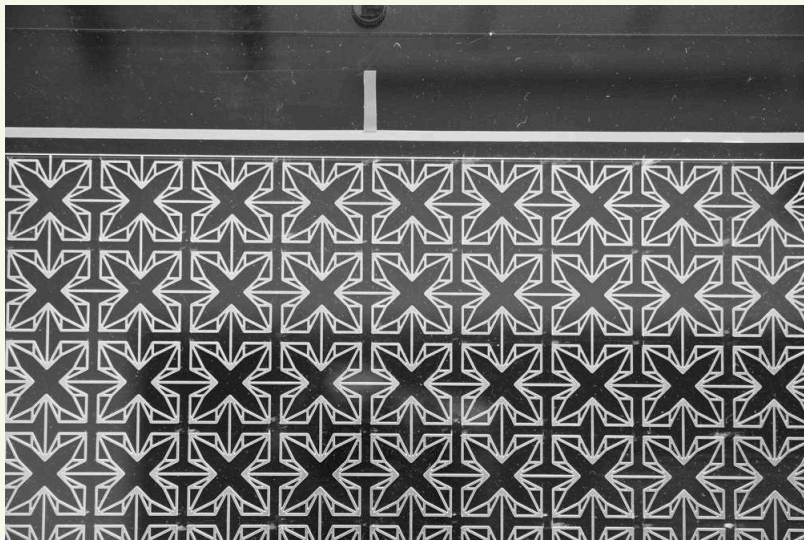
- fabricated by photo-etching
- stainless steel
  - thickness of beams: 0.5 mm, width: 0.75 mm



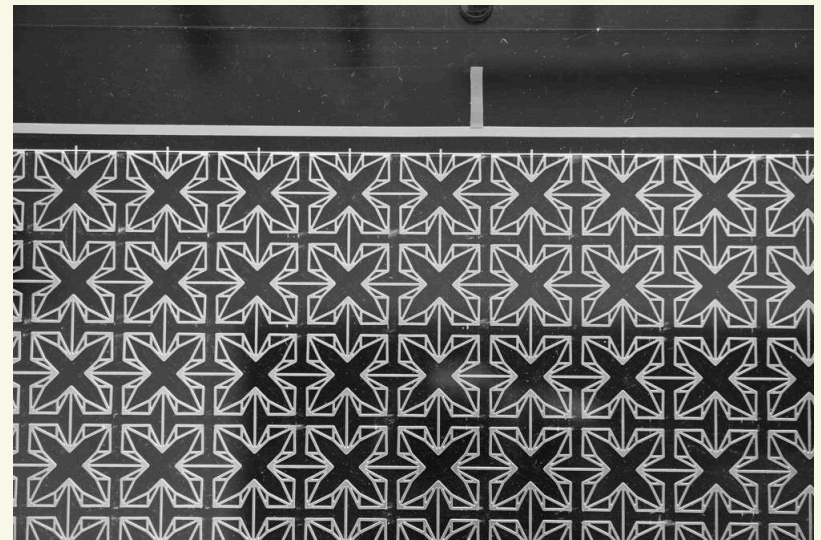


## fabricated optimal structure

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undeformed state



deformed state

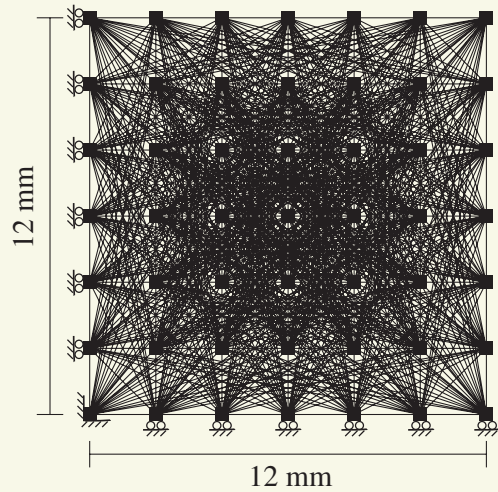
# local search: a heuristics

- MIP approach
  - global optim.
  - limitation of prob. size
- towards large probs.
  - local search with MIP [Stolpe & Stidsen '07] [Svanberg & Werme '07]
  - solve MIP within neighborhood  $N(\mathbf{x}^*, r)$

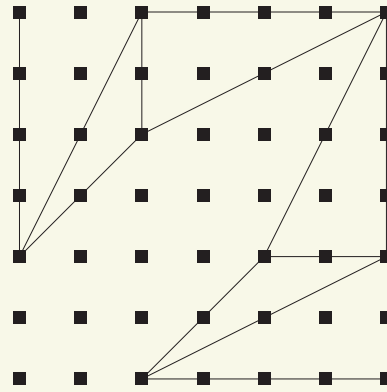
$$N(\mathbf{x}^*, r) = \left\{ \mathbf{x} \mid \sum_{i=1}^m |x_i - x_i^*| \leq r \right\}$$

- $r$  : radius
- $\mathbf{x}^*$  : incumbent solution

## ex.) local search



candidate members



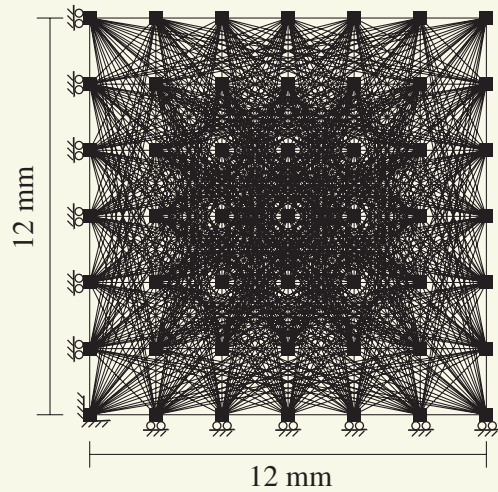
initial solution

$$\nu = -0.832887$$

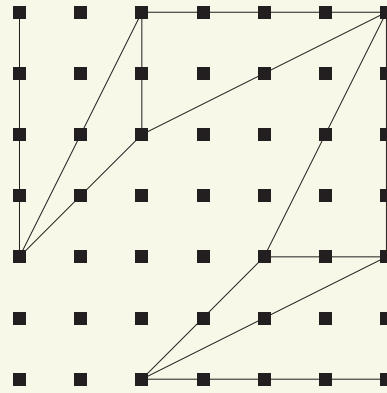
- local search

- 748 members
- $r = 4$  (radius of neighborhood)
- no guarantee of global optimality

# ex.) local search

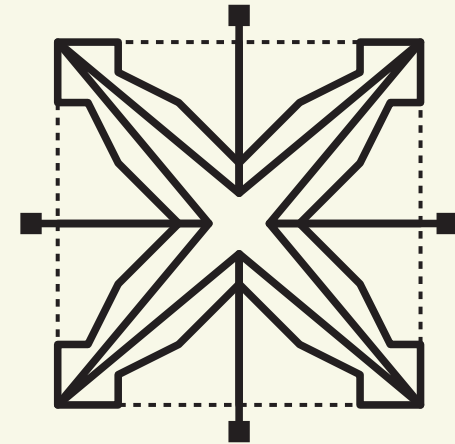


candidate members



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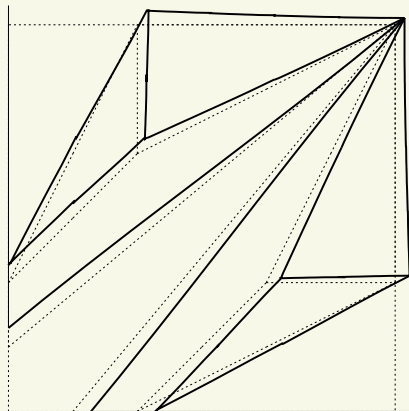
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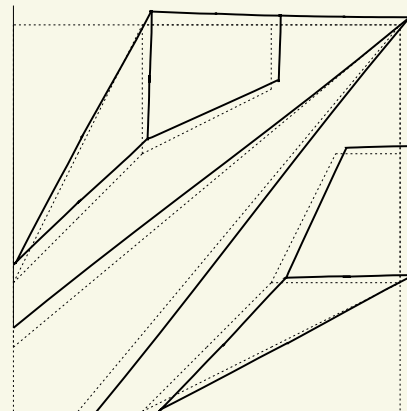
final design

$$\nu = -0.969188$$

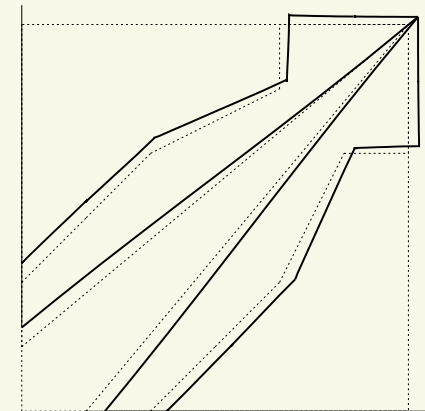
- convergence history:



1st step



2nd step



3rd step

# conclusions

- design of counterintuitive structures
  - → Optimization might be a helpful tool.
- structures with negative Poisson's ratio
  - topology optimization of frame structures
    - max. the output displacement
    - mixed-integer programming
  - selection of member cross-sections ← integer variables
    - from a catalog of available sections (incl. void)
  - stress constraints
  - no hinges, no thin members, no post-processing